

| | | |
|---|-------------------------------|--|
| POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM | Application Number | 10/780,507 |
| | Filing Date | February 17, 2004 |
| | First Named Inventor | James I. Mullins |
| | Title | ANCESTRAL AND COT VIRAL SEQUENCES, PROTEINS AND IMMUNOGENIC COMPOSITIONS |
| | Art Unit | Unassigned |
| | Examiner Name | Unassigned |
| | Attorney Docket Number | 016336-001320US |

I hereby appoint:

☒ Practitioners associated with the Customer Number

20350

OR

☐ Practitioner(s) named below:

| Name | Registration Number |
|------|---------------------|
| | |
| | |
| | |
| | |

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

OR

☐ Firm or
Individual Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

Susan L. Harlocker

Signature

Susan L. Harlocker

Date

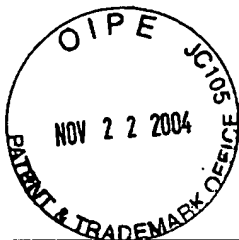
11/12/04

Telephone

206 221 2969

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ *Total of _____ forms are submitted.



PTO/SB/86 (08-03)

Attorney Docket No. 016336-001320US

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: James I. Mullins, Allen G. Rodrigo, Gerald H. Learn, Fusheng Li, David C. Nickle, andMark A. JensenApplication No./Patent No.: 10/780,507Filed/Issue Date: February 17, 2004Entitled: ANCESTRAL AND COT VIRAL SEQUENCES, PROTEINS AND IMMUNOGENIC COMPOSITIONSUniversity of Washingtona University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____%

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

11/12/04

Date

(206) 221-2969

Telephone number

Susan L. Harlocker

Typed or printed name

Susan L. Harlocker

Signature

Technology Manager

Title

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, James I. Mullins of 3134 East Laurelhurst Drive N.E., Seattle, WA 98105-5333; Allen G. Rodrigo of 8 Seaton Road, Murrays Bay, Auckland, New Zealand; Gerald H. Learn of 11316 N.E. 2nd Street, Kingston, WA 98346; Fusheng Li of 3818 N.E. 75th Street, #3, Seattle, WA 98115; David C. Nickle of 5038 - 17th Ave. N.E., Seattle, WA 98105; and Mark A. Jensen of ~~15113 61st Ave. S.E., Snohomish, WA 98296~~, *MT* hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent: *3300 LAKE SEMINOLE PL, BUFORD, GA 30517*

Title of Invention: ANCESTRAL AND COT VIRAL SEQUENCES, PROTEINS
AND IMMUNOGENIC COMPOSITIONS

Filing Date: February 17, 2004

Application No.: 10/780,507; and

WHEREAS, University of Washington, a public institution of higher education having a place of business at 4311 - 11th Avenue N.E., Suite 500, Campus Box 354990, Seattle, WA 98105-4608, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: _____

James I. Mullins

STATE OF WASHINGTON)

COUNTY OF)

ss.

On _____, before me, _____,
personally appeared James I. Mullins, personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

NOTARY PUBLIC

My Commission Expires: _____

By: _____

Allen G. Rodrigo

Date: _____

7/04/04

Witnessed by: _____

S. RE

Date: _____

5/07/04

Date: _____

5/07/04

Assignment
Attorney Docket No.: 016336-001320US
Client Ref.: 2478-3150-3442PT; 2478-3768-4270PT
Page 3

Dated: _____

Gerald H. Learn

STATE OF WASHINGTON)
)
COUNTY OF) ss.

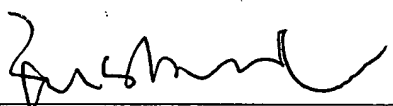
On _____, before me, _____,
personally appeared Gerald H. Learn, personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

NOTARY PUBLIC

My Commission Expires: _____

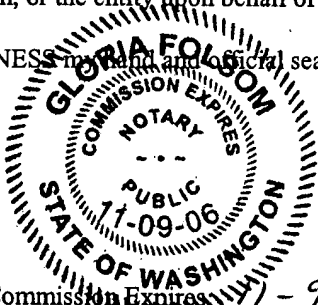
Dated: 8/25/04


Fusheng Li

STATE OF WASHINGTON)
)
COUNTY OF) ss.

On August 23, 2004, before me, ~~Fusheng Li~~ Gloria Folsom,
personally appeared Fusheng Li, personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.




NOTARY PUBLIC

My Commission Expires 11-9-06

Dated: _____

David C. Nickle

STATE OF WASHINGTON)
)
COUNTY OF KING)

ss.

On _____, before me, _____,
personally appeared David C. Nickle, personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me
that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the
entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

My Commission Expires: 8/18/04

Nancy T. Caro
NOTARY PUBLIC
NANCY T. CARO

Dated: 09/03/04

Mark A. Jensen
Mark A. Jensen

GEORGIA
STATE OF ~~WASHINGTON~~)
)
DEKALB
COUNTY OF ~~SNOHOMISH~~)

ss.

On _____, before me, _____,
personally appeared Mark A. Jensen, personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me
that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the
entity upon behalf of which the person acted, executed the instrument.

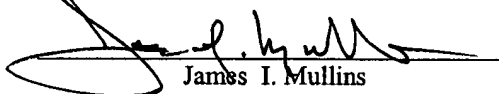
WITNESS my hand and official seal.

NOTARY PUBLIC

My Commission Expires: _____

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 27 Sep 04

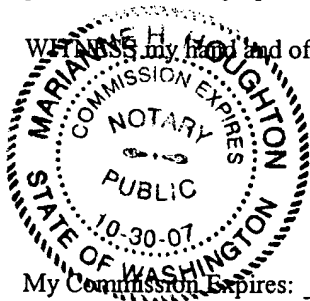

James I. Mullins

STATE OF WASHINGTON)
)
COUNTY OF King)

ss.

On 27 September 04, before me, Marianne Houghton,
personally appeared James I. Mullins, personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



My Commission Expires: 10-30-2007

Marianne Houghton
NOTARY PUBLIC
7901 224th ST SW, Edmonds, WA 98026

By: _____
Allen G. Rodrigo

Date: _____

Witnessed by:

Date: _____

Date: _____

Assignment
Attorney Docket No.: 016336-001320US
Client Ref.: 2478-3150-3442PT; 2478-3768-4270PT
Page 3

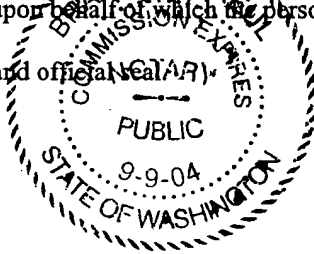
Dated: July 8, 2004

Gerald H. Learn
Gerald H. Learn

STATE OF WASHINGTON)
)
COUNTY OF) ss.

On 7-8-04, before me, Brandon Krenl,
personally appeared Gerald H. Learn, personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



[Signature]
NOTARY PUBLIC

My Commission Expires: 9-9-04

Dated: _____

Fusheng Li

STATE OF WASHINGTON)
)
COUNTY OF) ss.

On _____, before me, _____,
personally appeared Fusheng Li, personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

NOTARY PUBLIC

My Commission Expires: _____

Dated: July 6 2003



David C. Nickle

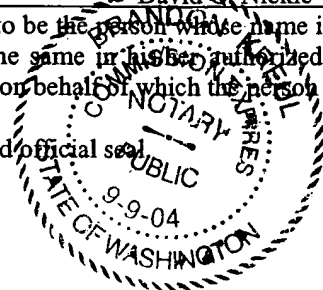
STATE OF WASHINGTON)

ss.

COUNTY OF)

On July 6th, before me, Brandon Kneel,
personally appeared David C. Nickle, personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



NOTARY PUBLIC

My Commission Expires: 9-9-04

Dated: _____

Mark A. Jensen

STATE OF WASHINGTON)

ss.

COUNTY OF)

On _____, before me, _____,
personally appeared Mark A. Jensen, personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

NOTARY PUBLIC

My Commission Expires: _____